Towards indicators for 'opening up' science and technology policy

Ismael Rafols^{1,2}, Tommaso Ciarli¹ and Andy Stirling¹

Abstract

Recent years have seen much critical debate over the simplistic use of scientometric tools for formal or informal appraisal of science and technology (S&T) organisations (e.g. in university rankings) or individuals (e.g. the h-index) (Weingart, 2005). As a reaction to these critiques, efforts have been made to improve the robustness of measurements by broadening the range of inputs considered in scientometric evaluations. Examples include the inclusion of books and national or regional journals, or more recently 'altmetrics'.

While this 'broadening out' of the range of data used as 'inputs' in scientometric appraisal is, in our view, commendable, we propose in this paper that a second dimension also needs to be considered. This relates to the extent to which the 'outputs' of appraisal 'open up' contrasting conceptualisations of the phenomena under scrutiny and consequently allow for more considered and rigorous attention to alternative policy options, both by decision makers and within wider policy debate. We use a recent comparative study on the performance and interdisciplinarity of six organisational units (Rafols et al., 2012) to illustrate the difference between increasing the range of inputs ('broadening out') and enhancing the diversity of outputs to policy decision making ('opening out'). In this way, policy appraisal can inform decision making in a more rigorous 'plural and conditional' fashion – acknowledging the way in which divergent normative assumptions and metrics can yield contrasting understandings of both the phenomena under scrutiny, and of appropriate policy responses (Stirling, 2008).

References

Rafols, I., Leydesdorff, L., O'Hare, A., Nightingale, P., Stirling, A., 2012. How rankings can suppress interdisciplinarity. The case of innovation studies and business and management. Research Policy 41, 1262–1282.

Stirling, Andy. 2008. "Opening Up" and "Closing Down": Power, Participation, and Pluralism in the Social Appraisal of Technology. *Science, Technology & Human Values* 33 (2): 262-294.

Weingart, Peter. 2005. 'Impact of Bibliometrics Upon the Science System: Inadvertent Consequences?' *Scientometrics* 62 (1): 117-131.

¹ Ingenio (CSIC-UPV), Universitat Politècnica de València, València

² SPRU – Science and Technology Policy Research, University of Sussex, Brighton, <u>i.rafols@sussex.ac.uk</u>